



7/2663  
TRW

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/846,452
		Filing Date	April 30, 2001
		First Named Inventor	Han C. Wen
		Art Unit	2663
		Examiner Name	Douong, Duc T.
Total Number of Pages in This Submission	8	Attorney Docket Number	3997P007

### ENCLOSURES (check all that apply)

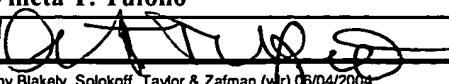
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
		<div style="border: 1px solid black; padding: 5px; height: 60px; vertical-align: top;">           - Return postcard.         </div>
<div style="border: 1px solid black; padding: 2px; width: 100px; margin-bottom: 2px;">Remarks</div> <div style="border: 1px solid black; height: 40px; vertical-align: top;"></div>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Chze Koon Chua, Reg. No. 53,831  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 12, 2005

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Vineta T. Tufono		
Signature		Date	October 12, 2005

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (vtr) 03/04/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HAN C. WEN, ET AL.

Application No.: 09/846,452

Filed: April 30, 2001



Art Group: 2663

Examiner: Douong, Duc T.

For: **Method for Accelerating TCP Flows in Communication Networks with Dynamic Control**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/539,434, filed March 30, 2000) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

10/17/2005 MBIZLINES 00000055 09846452

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Chze Koon Chua, Reg. No. 53,831

Date: October 12, 2005

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (408) 720-8300

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Vineta T. Tufono

10-12-05

*Date*

OCT 14 2005

# FEETRANSMITTAL

## for FY 2005

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/846,452
Filing Date	April 30, 2001
First Named Inventor	Han C. Wen
Examiner Name	Douong, Duc T.
Art Unit	2663
Attorney Docket No.	3997P007

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

180.00

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

## Large Entity

## Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	180.00

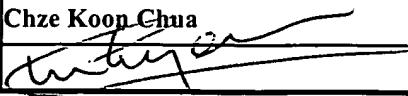
Other fee (specify) \_\_\_\_\_

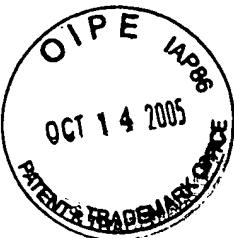
SUBTOTAL (2) (\$)

180.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Chze Koon Chua	Registration No. (Attorney/Agent)	53,831	Telephone	(408) 720-8300
Signature				Date	10/12/05

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%;">Application Number</td><td>09/846,452</td></tr> <tr><td>Filing Date</td><td>April 30, 2001</td></tr> <tr><td>First Named Inventor</td><td>Wen, Han</td></tr> <tr><td>Group Art Unit</td><td>2661</td></tr> <tr><td>Examiner Name</td><td>Hsu, Alpus</td></tr> </table>		Application Number	09/846,452	Filing Date	April 30, 2001	First Named Inventor	Wen, Han	Group Art Unit	2661	Examiner Name	Hsu, Alpus
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Group Art Unit	2661												
Examiner Name	Hsu, Alpus												
													
Sheet 1 of 1													
Attorney Docket No: 3997P007													

**US PATENT DOCUMENTS**

Examiner Initial *	Cite No. *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,367,523	11/22/1994	Chang, Rong-Feng , et al.	
		US-5,583,792	12/10/1996	Li, San-Qi , et al.	
		US-5,646,943	07/08/1997	Elwalid, Anwar	
		US-5,930,364	07/27/1999	Kim, Chil M.	
		US-5,995,488	11/30/1999	Kalkunte, Mohan , et al.	
		US-6,046,988	04/04/2000	Schenkel, David , et al.	
		US-6,826,151	11/30/2004	Li, San-Qi , et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. *	Foreign Document No Country Code – Number – Kind Code (If known)	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		EP-0817433	01/07/1998	Lyles, Joseph B.		
		WO-00/02356	01/13/2000	Kilkki, Kalevi , et al.		

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PIPPAS, JOHN B., et al., "Shaping Aggregate LAN Flows for Transmission over ABR Connections", <u>Communication Networks</u> , Vol.10, No. 1, Jan.-Feb. 1999., (01/1999),pps. 45-56	
		TRINH, NGUYEN C., et al., "Dynamic Resource Allocation for Self-similar Traffic in ATM Network", <u>The University of Electro-Communications</u> , XP-002169395, pps. 160-165	

Filed: 10/12/05**EXAMINER****DATE CONSIDERED**